IAP7 Rec'd PCT/PTO 16 JUN 2006' PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. 0MB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
o a collection of information unless it displays a valid OMB control number Under the Paperwork Reduction Act of 1995, no persons are required to respond

TRANSMITTAL LETTER TO THE UNITED STATES					ATTORNEY'S DOCKET NUMBER		
DESIGNATED/ELECTED OFFICE (DO/EO/US)					095309.57861US		
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 U.S. ABPLICATION OF FROM 25 SEPT (FR 1.5)  INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE  INTERNATIONAL APPLICATION NO.							
INTER		PCT/EP2004/013266			r 23, 2004	December 19, 2003	
TITLE OF INVENTION							
DEVICE FOR SEVERING A HOLLOW PROFILE SHAPED ACCORDING TO THE INTERNAL HIGH-PRESSURE DEFORMATION PROCESS							
APPLICANT(S) FOR DO/EO/US							
Thomas AUE, et al.							
	_	herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.					
1. 2.		•					
3.		This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.  This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9)					
J.	$\boxtimes$	and (21) indicated below.					
4.	$\boxtimes$	The US has been elected (Article 31).					
5.	$\boxtimes$	A copy of the International Application as filed (35 U.S.C. 371(c)(2))					
		a. Significant is attached hereto (required only if not communicated by the International Bureau).					
		b. has been communicated by the International Bureau.					
	c. Is not required, as the application was filed in the United States Receiving Office (RO/US).					Office (RO/US).	
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).					(c)(2)).	
		a. X is attached her	reto.				
	b. has been previously submitted under 35 U.S.C. 154(d)(4).						
7.		Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))					
		a. are attached hereto (required only if not communicated by the International Bureau).					
		b. have been communicated by the International Bureau.					
		c. have not been made; however, the time limit for making such amendments has NOT expired.				has NOT expired.	
		d. have not been made and will not be made.					
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).				(35 U.S.C. 371(c)(3)).	
9.		An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).					
10.	$\boxtimes$	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).					
Items 11 to 20 below concern document(s) or information included:							
11.		An Information Disclosure Statement under 37 CFR 1.97 and 1.98, International Search Report dated March 16, 2005 including English Translation of relevant portion and PCT/ISA/237 (Twelve (12) pages), German Office Action dated February 19, 2004 (Two (2) pages), PTO-1449 with five (5) cited non-US references.					
12.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.					
13.	$\boxtimes$	A preliminary amendment (Eight (8) pages) with Appendix I, II and III.					
14.	$\boxtimes$	An Application Data Sheet under 37 CFR 1.76 (Four (4) pages).					
15.	$\boxtimes$	A substitute specification – Clean and Marked Up Versions (Appendix I and III).					
16.		A power of attorney and/or change of address letter.					
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.					
18.		A second copy of the published International Application under 35 U.S.C. 154(d)(4).					
19.		A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).					

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

One (1) sheet of drawings showing Figs. 1 and 2

20.

Other items or information:

## AP3 Rec'd PCT/PTO 1 6 JUN 2000

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO...if known, sep37-5FF71.5)

By Assigned
The following fees have been submitted INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/EP2004/013266 095309.57861US CALCULATIONS PTO USE ONLY \$300.00 22. Examination fee (37 C.F.R. §1.492(c)) 冈 If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ......\$0.00 \$200.00 All other situations.....\$200.00 Search fee (37 C.F.R. §1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority......\$100 International Search Report prepared by an ISA other than the US and provided to All other situations..... .....\$500 \$400.00 TOTAL OF 21, 22 AND 23 = \$900.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction **Total Sheets** Extra Sheets RATE thereof (round up to a whole number) 0 / 50 = \$0.00 15 - 100 x 250 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)). \$130.00 NUMBER FILED NUMBER EXTRA RATE Total claims 20 - 200 50 \$0.00 Independent claims 1 - 3 0 200 \$0.00 x MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$0.00 **TOTAL OF ABOVE CALCULATIONS =** \$1,030.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = \$1,030.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). \$0.00 **TOTAL NATIONAL FEE =** \$1,030.00 Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property ...... \$0.00 TOTAL FEES ENCLOSED = \$1,030.00 Amount to be refunded: Amount to be charged: A check in the amount of \$ to cover the above fees is enclosed. Please charge my Deposit Account No. 05-1323 (Attorney Docket No. 095309.57861US) in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 05-1323 (Attorney Docket No. 095309.57861US). Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a position to revive (\$7/CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Crowell & Moring, LLP Intellectual Property Group SIGNATURE P.O. Box 14300 Richard R. Diefendor NAME Washington, D.C. 20044-4300 32,390

FORM PTO-1390 (REV. 02-2005)

Tel. No. (202) 624-2500

Fax No. (202) 628-8844

Page 2 of 2

REGISTRATION NUMBER

June 16, 2006 DATE